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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/089,064	04/05/2002	Arno Lange	220952USOPCT	3075
22850	7590	02/07/2007	EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.			TOOMER, CEPHIA D	
1940 DUKE STREET			ART UNIT	PAPER NUMBER
ALEXANDRIA, VA 22314			1714	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		02/07/2007	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	10/089,064	LANGE ET AL.
	Examiner	Art Unit
	Cephia D. Toomer	1714

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on 07 November 2006.

2a) This action is FINAL.                            2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1,8,9,20-33,47-50,52-54,69-71 and 73-89 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) 8,20-29,32,33,48-50,52-54,76 and 77 is/are allowed.

6) Claim(s) 1,9,30,31,47,69-71,73-75 and 78-89 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some \* c) None of:

- Certified copies of the priority documents have been received.
- Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
- Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) Notice of References Cited (PTO-892)

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.

4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.

5) Notice of Informal Patent Application

6) Other: \_\_\_\_\_.

## DETAILED ACTION

This Office Action is in response to the Terminal Disclaimer and Information Disclosure Statement filed November 7, 2006.

### ***Double Patenting***

1. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

2. Claims 47, 69-71, 73-75, 84, 85, 88 and 80 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 30-34 of copending Application No. 10/536,401. Although the conflicting claims are not identical, they are not patentably distinct from each other because the Mannich adduct, fuel and lubricant of the present invention are encompassed by those set forth in the copending application.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claim 47 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claim is rejected because it is a dependent of a lubricant composition and not a Mannich adduct.

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1, 9, 30, 31, 78-83, 86 and 87 are rejected under 35 U.S.C. 103(a) as being unpatentable over Basalay (US 4,334,085) in view of Cherpeck (US 5,300,701) and Baxter (US 6,562,913).

Basalay teaches a Mannich product (see abstract). The product is prepared by reacting a high molecular weight substituted phenol obtained by alkylation of phenol with polybutenes (molecular weight of 200-6000), formaldehyde and a mononitrogen

such as dialkyl amines (see col. 2, lines 45-58; col. 3, lines 8-24, 35-41; Example I).

Basalay teaches the limitations of the claims other than the differences that are discussed below.

In first aspect, Basalay differs from the claims in that he does not specifically teach that alkyl group is highly reactive PIB having more than 70 mol% of vinylidene double bonds (claim1) a polydispersity from 1.1 to 3.5 (claim 5). However, Cherpeck and Baxter teach this difference.

Cherpeck teaches a process for the preparation of PIB substituted phenolic compound wherein the phenolic compound is alkylated in the presence of an acid catalyst (see abstract). The PIB has a number average molecular weight of 300-500 and contains at least about 70% methylvinylidene (high reactive) (see col. 2, lines 37-49). Cherpeck teaches that these PIB compounds are the commercial product ULTRAVIS-10 (molecular weight 950) (see Example 1).

Baxter teaches that highly reactive PIB such as ULTRAVIS possess a polydispersity of no more than 2.0 (see col. 4, lines 12-29, 54-58).

It would have been obvious to one of ordinary skill in the art to have replaced the polybutene of Basalay with a highly reactive polybutene because Cherpeck teaches that employing such a polybutene provides the desired PIB-phenol in significantly higher yield than employing conventional PIB having minor amounts of methylvinylidene and phenols exhibit minimal molecular weight degradation (see col. 4, lines 19-57).

In the second aspect, Basalay differs from the claims in that he does not specifically teach the adduct mixture of claims 31, 78 and 80. However, no

unobviousness is seen in this difference because Basalay, Cherpeck and Baxter teach a PIB-substituted phenol that appears to meet the claimed limitations and they teach the same amine and aldehyde reactants. Basalay reacts these components in the same manner as Applicant. Therefore, it would be reasonable to expect that the adducts of claim 4 would be within the scope of Basalay, Cherpeck and Baxter, absent evidence to the contrary.

In the third aspect, Basalay fails to teach that the amine and formaldehyde are reacted first to form an adduct which is then reacted with the PIB-phenol (claims 1 and 3). However, no unobviousness is seen in this difference because selection of any order of performing process steps is *prima facie* obvious in the absence of new or unexpected results. See *In re Gibson*, 5 USPQ 230 (CCPA 1930).

7. Claims 8, 20-29, 32, 33, 48-50, 52-54, 76 and 77 are allowed. The prior art of record fails to teach or suggest the claimed process of preparing the Mannich adducts, or the lubricant and fuel compositions containing said adducts.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cephia D. Toomer whose telephone number is 571-272-1126. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vasu Jagannathan can be reached on 571-272-1119. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Cephia D. Toomer  
Primary Examiner  
Art Unit 1714

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